



**FOR CONTRACTORS AND CONSULTANTS**

Dependent upon state authority, you are applying for insurance coverage provided by and underwritten by one of the following insurance companies of ARGO GROUP US:

**COLONY INSURANCE COMPANY • COLONY SPECIALTY INSURANCE COMPANY**

**1 - APPLICANT INFORMATION** **Contact Person:**

1 <sup>st</sup> Named Insured:		Name:	
Mailing Address:		Title:	
City / State / Zip:		Phone:	

Company is an:  Individual  Partnership  Corporation  Joint Venture  Other (describe): \_\_\_\_\_

Year Established: \_\_\_\_\_ Website: \_\_\_\_\_ Date of Application: \_\_\_\_\_

**Please provide the following with this application for fast and accurate processing:**

- Three years currently valued loss runs – all lines
- Current Income Statement and Balance Sheet
- Copies of Relevant Licenses and Certifications
- Applicant's Loss Prevention and H&S Protocols
- Statement of Qualifications for Professionals
- Copies of expiring Dec Pages and Endos

**2 - DESIRED COVERAGE**

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> General Liability                | <input type="checkbox"/> Transportation Pollution (TPL)   | <input type="checkbox"/> EBL – w/Retro Date: _____ |
| <input type="checkbox"/> Contractor's Pollution Liability | <input type="checkbox"/> Pollution for Non-Owned Disposal Sites (NODS) – w/Retro: _____   |  |
| <input type="checkbox"/> Professional Liability           | <input type="checkbox"/> Pollution for Your Sites - <input type="checkbox"/> Time Element, or <input type="checkbox"/> Sudden & Gradual |  |
| <input type="checkbox"/> Excess Liability Policy          | <input type="checkbox"/> Products Pollution Coverage  | <input type="checkbox"/> Other Endorsements: _____ |

Are you seeking:  Practice Policy; or  Project-Specific Policy\*

*\*For a Project-Specific Policy, revenue and operational information should be provided specific to the covered project.*

3 - CURRENT COVERAGE		EFFECTIVE DATE: _____		EXPIRATION DATE: _____	
Coverage	Expiring Carrier	Limits of Liability Each / Agg	Deductible	Retroactive Date (if any)	Premium
General Liability		/	\$		\$
Contractors Pollution Liab.*		/	\$		\$
* Mold Coverage on CPL	<input type="checkbox"/> Occur or <input type="checkbox"/> CM	/	\$		\$
Professional Liability		/	\$		\$
Pollution for Your Sites		/	\$		\$
Excess			\$	N/A	\$
Auto			\$	N/A	\$

\*Complete information regarding expiring retroactive dates and coverage details will help ensure quick and accurate processing.

**4 - GENERAL INFORMATION - For any "Yes" answers, please provide further explanation on page 8.**

1. Has the applicant ever operated under another name?  Yes  No
2. Has the applicant or any affiliated, related predecessor entity ever been (or currently is) the subject of bankruptcy, reorganization, solvency, dissolution or other debtor related proceedings and/or has made assignment for the benefit of creditors?  Yes  No
3. Has the applicant, officer, or director of the applicant or any affiliated, related predecessor entity or owner ever been convicted of a crime?  Yes  No
4. Has the applicant acquired, merged, sold, or dissolved any other entities the last five (5) years?  Yes  No
5. Has any insurance company ever denied, canceled, or non-renewed General Liability, Pollution Liability, or Professional Liability coverage? (Missouri Applicants - do not answer this question)  Yes  No

**5 - ADDITIONAL NAMED INSUREDS and RELATED ENTITIES**

List all desired Named Insureds and any other subsidiary, associate, affiliated or allied companies or corporations of which you have more than 50% ownership interest (If additional space is needed please see page 8 or attach).

Entity	Description of Operations	Gross Revenues	% Common Ownership
		\$	%
		\$	%
		\$	%
		\$	%
		\$	%

\* Additional Named Insureds can be included subject to underwriting. Please identify the full legal name and operations of all entities you wish to have included as Named Insureds under this policy.

**6 - YOUR PERSONNEL**

Indicate the number of staff in each category (list each employee only once in their highest position or role):

POSITION OR ROLE	No.	POSITION OR ROLE	No.
Owner / Principals		Conservation Scientist / Biologist / Forester	
Environmental Engineer or Scientist		Health & Safety / Risk Manager	
Industrial Hygienist / Toxicologist		Project Manager	
Microbiologist		Supervisor / Foreman	
Other Indoor Environmental Professional (IEP)		HAZWOPER or AHERA Personnel	
Civil, Structural or Mechanical Engineer		Commercial Driver	
Electrical Engineers		General Laborer	
Geotechnical or Geophysical Engineer		Clerical	
Geologist / Hydrogeologist		Other:	

**7 - GROSS REVENUES**

Provide your projected and historical Gross Revenues\* below – include revenues from all requested Named Insureds:

Period	Gross Revenues	% Foreign
Projected Gross Revenue (next 12 months):	\$	%
Actual Revenue 1st Prior Year (last 12 months):	\$	%
Actual Revenue 2nd Prior Year:	\$	%

\* For purposes of this application, Gross Revenue means the money generated by all services or products you provide, before any deductions are taken for expenses.

**8 - BREAKDOWN OF CONTRACTING AND PROFESSIONAL REVENUES**

**Breakout your projected Gross Revenue for each of the classes of Environmental Contracting or Professional Services that you will conduct in the coming term. Also indicate the % subcontracted (if any).**

**ENVIRONMENTAL CONTRACTING CLASSES**

<b>Environmental Contracting classes</b>	<b>\$</b>	<b>% Subbed</b>	<b>Environmental Contracting classes</b>	<b>\$</b>	<b>% Subbed</b>
Air Duct Cleaning/Indoor Air Quality (not Mold)		%	Mold Remediation – Habitational		%
Air Pollution Control Installation		%	Mold Remediation – Commercial / Ind.		%
Asbestos/Lead Abatement – Habitational		%	PCB Remediation/Removal		%
Asbestos/Lead Abatement – Commercial / Ind.		%	Radon Mitigation Contracting		%
Barrier or Liner Installation (not landfill)		%	Restoration Contracting – including Build-back		%
Bio or Phyto Remediation – Soil or Groundwater		%	Sample Collection – Asbestos & Lead or Other		%
Crime Scene / Illegal Drug Lab Cleanup		%	Sample Collection – Soil & Groundwater		%
Disposal Fees for Waste or Haz Mats		%	Soil Remediation – In Situ		%
Dredging – Env/Pollution Clean-up		%	Soil Remediation – Dig and Haul		%
Drilling – Monitoring Well Installation		%	Solar System Installation – Habitational		%
Emergency Response / Spill Clean-up		%	Solar System installation – Commercial		%
Gas Station Construction – Bldgs (not tanks)		%	Superfund Remediation Contracting		%
Gas Station Pump & Dispenser Contracting		%	Tank and Pipe Cleaning (Remote/Robotic)		%
Groundwater Remediation		%	Tank and Pipe Cleaning (Confined Space Entry)		%
Hazardous Materials Cleanup/Treatment		%	Tank Installation & Removal – Aboveground		%
Hazardous Waste Treatment – at Job Site		%	Tank Installation – Underground		%
Industrial Cleaning (not Tank or Pipe)		%	Tank Removal – Underground		%
Lab Packing / Facility Clean-out / Loading		%	Tank Maintenance and Repair		%
Landfill Capping and Surface Maintenance		%	Transportation Fees – Haul Away		%
Landfill Construction or Expansion		%	Waste Stream System Installation & Maint.		%
Landfill Liner Installation		%	Waste Stream System Operators		%
Liquid Waste Management/Treatment		%	Wetlands, Stream or Habitat Mitigation		%
Medical Waste Pickup and Transportation		%	Wind Energy System Installation or Repair		%
Mobile Incineration / Thermal Treatment		%	Other:		%

**ENVIRONMENTAL PROFESSIONAL CLASSES**

<b>Environmental Professional classes</b>	<b>\$</b>	<b>% Subbed</b>	<b>Environmental Professional classes</b>	<b>\$</b>	<b>% Subbed</b>
Air Quality Testing and Monitoring (not Mold)		%	Lab Testing / Analysis (environmental)		%
Asbestos and Lead Paint Assessment		%	Mitigation Banking and Brokering		%
Construction Project Mgmt – Agency (Env)		%	Mold Inspection and Assessment		%
Construction Project Mgmt – At Risk (Env)		%	Phase I Env Assessments / Transaction Screens		%
Decommissioning Design for Nuclear Facilities		%	Phase II Env Assessments – with Sampling		%
Energy Efficiency Consulting		%	Phase III – Remedial Design Plans and Specs		%
Environmental Archeological Surveys		%	Pipeline Integrity Testing		%
Environmental Impact Studies		%	Radon Consulting		%
Expert Witness / Litigation Support		%	Regulatory Consulting / Permitting		%
Feasibility Studies or Reports w/o Design		%	Remediation Oversight / Management		%
Geology & Hydrogeology (100% Environmental)		%	Solar / Photovoltaic System Layout & Design		%
Geophysical Assessment (100% Environmental)		%	Surveying in Support of Environmental Report		%
Geotechnical (100% Environmental)		%	Tanks – UST/AST System Design		%
Hazardous Materials Consulting		%	Tanks – UST/AST Tightness Testing or Compl.		%
Health and Safety Training		%	Waste brokering / Disposal Site Selection		%
Industrial Hygiene Consulting		%	Wetlands and Wildlife Consulting or Design		%
Other:		%	Other:		%

## NON-ENVIRONMENTAL CONTRACTING CLASSES

Non-Environmental Contracting classes	\$	% Subbed	Non-Environmental Contracting classes	\$	% Subbed
Boiler Installation & Maintenance		%	Janitorial Services		%
Bridge Construction		%	Landscaping and Tree Services		%
Carpet Cleaning (not Fire/Water Restoration)		%	Marine Construction - Dams, Harbors, Piers, etc.		%
Concrete/Masonry (not Foundation)		%	Painting and Coatings Application (not Bridges)		%
Concrete/Masonry – Foundation		%	Painting and Coatings – Bridges		%
Debris Removal		%	Paving – Public Streets and Roadways		%
Demo – Interior Only		%	Pesticide or Herbicide Application (not Aerial)		%
Demo – Structural - 3 Stories or Less		%	Pesticide or Herbicide Application – Aerial		%
Demo – Structural - Over 3 Stories		%	Pile Driving		%
Disaster Response and Restoration		%	Pipeline: Oil/Gas gathering, feeder, transmission		%
Dredging – Deepening / Widening of Waterways		%	Pipeline: Natural Gas Utility Distribution Only		%
Drilling – Geotechnical and Other		%	Plastering and Stucco (including EIFS)		%
Drilling – Oil/Gas		%	Plumbing – not Fire Suppression / Sprinklers		%
Drilling – Potable or Other Water		%	Potable Water System Construction & Maint.		%
Drywall Installation		%	Railroad Construction & Maintenance		%
Equipment or Appliance Installation		%	Refractory Contracting		%
Electrical		%	Remodeling and Additions		%
Excavation / Grading		%	Rigging / Stevedoring		%
Finish Carpentry		%	Roofing		%
Fireproofing / Fire-stopping		%	Roustabout – Oilfield Related		%
Fire Suppression / Sprinkler		%	Scaffold Erection		%
Flooring		%	Sewage Treatment Plant Construction & Maint.		%
Framing & Carpentry (General Construction)		%	Sewer or Septic Tank Construction or Cleaning		%
General Contractor		%	Sewer Pipeline / Water Main Construction		%
Glass / Glazier or Doors and Windows		%	Steel Erection		%
Home Building / Residential Developer		%	Street Sweeping / Cleaning		%
HVAC / Refrigeration / Mechanical Contracting		%	Temporary Employment Agencies / Labor Force		%
Insulation (Commercial / Industrial / Mechanical)		%	Transportation / Hauling (not Environmental)		%
Insulation (Residential)		%	Waterproofing		%
Industrial Contracting - Refinery & Petrochemical		%	Welding		%
Industrial Process Piping – Construct or Repair		%	Other:		%

## NON-ENVIRONMENTAL PROFESSIONAL CLASSES

Non-Environmental Professional classes	\$	% Subbed	Non-Environmental Professional classes	\$	% Subbed
Architectural Design		%	Land Surveying		%
Building Condition Assessments / R.E. Audits		%	Landscape Architecture		%
Civil Engineering		%	Marine Engineering		%
Construction Project Mgmt – Agency		%	Mechanical / HVAC / Refrigeration Engineering		%
Construction Project Mgmt – At Risk		%	Mining Engineering		%
Electrical Engineering		%	Petroleum Engineering		%
Energy Appraisal, Assessment or Auditing		%	Pipeline Design		%
Geology and Hydrology (Non-Environmental)		%	Process Engineering – Potable & Waste Water		%
Geophysical Assessments (non-Environmental)		%	Process Engineering – Petroleum, Chemical etc.		%
Geotechnical Engineering		%	Software Design & Programming		%
Insulation Engineering and Design		%	Structural Engineering		%
Insulation Inspection Services		%	Utility and Underground Locating		%
Lab Analysis / Construction Materials Testing		%	Other:		%

**9 - DESIGN-BUILD EXPOSURES**

Not Applicable

Do you enter into Design-Build projects where you hold a single contract to deliver both the design and construction services?  Yes  No

If Yes, do you:

- a) Self-perform the Design Services?  Yes  No
- b) Subcontract the Design Services?  Yes  No
- c) Self-perform the Construction Work?  Yes  No

What percent of your projects are performed through a Design-Build delivery method? \_\_\_\_\_ %

Do you have a Professional Engineer (PE) on staff?  Yes  No

When subcontracting Professional Services (Design, Engineering, etc.) do you ensure that your sub-consultants maintain all required licenses and relevant qualifications?  Yes  No

**10 - PRODUCTS DESIGNED OR SOLD BY YOU – If any**

If you engage in any products sales, either with or without installation, please disclose here:

	Projected Revenue from Products Sold
Products Designed and/or Sold by you <b>WITH</b> Installation: <i>Describe:</i>	\$ _____
Products Designed and/or Sold by you <b>WITHOUT</b> Installation: <i>Describe:</i>	\$ _____

**11 - STATE OF NEW YORK**

- 1. Do you project any operations to be performed in the State of New York in the coming term?  Yes  No  
If Yes, what % of gross revenue is likely to arise from operations in the State of New York? \_\_\_\_\_ %
- 2. Have you performed any operations in the State of New York in the last three (3) years?  Yes  No  
If Yes, what % of your projects was performed in the State of New York in the last 3 years? \_\_\_\_\_ %

**12 - YOUR CLIENTELE AND PROJECTS**

Indicate the percentage of your operations conducted within each of these segments:

INDUSTRIAL	%	MUNICIPAL / GOVERNMENTAL	%
Manufacturing / Chemical Plant	%	DOD / DOE / Federal / DHS	%
Petroleum / Refineries	%	State / Local	%
Platform Drilling Rigs	%	Other:	%
Pipelines:	%	<b>COMMERCIAL / PUBLIC</b>	<b>%</b>
Natural Gas	%	Shopping Centers	%
Petrochemical	%	Office / Warehouse	%
Other:	%	Parking Structures	%
Wastewater Sewage Plants	%	Churches	%
Potable Water Systems	%	Sports Complex / Convention Centers	%
Other Processing Plants	%	Parks and Recreation	%
Power Plants / Transformer Stations	%	Schools and Colleges	%
<b>INFRASTRUCTURE</b>	<b>%</b>	Hospitals and Medical Facilities	%
Airports and Runways	%	Airport Terminals	%
Streets / Roads	%	Hotels / Motels	%
Bridges and Tunnels	%	<b>RESIDENTIAL / HABITATIONAL</b>	<b>%</b>
Harbors / Piers / Ports / Dams	%	Nursing Homes	%
Offshore Marine / Rigs or Platforms	%	Prisons / Correctional Facilities	%
Landfills / Disposal Facilities	%	Apartment / Dormitories	%
Mass Transit / Railroad	%	Condos / Townhouses	%
Nuclear Facilities	%	Single Family Homes	%

**13 - RISK TRANSFER PROTOCOLS – SUBBED WORK** No Subs

When hiring your Subcontractors or Sub-consultants, do you:

1. Utilize a written contract 100% of the time with your subcontractors and sub-consultants?  Yes  No
2. Require Additional Insured Status on the Sub's Commercial General Liability (CGL) policy?  Yes  No
3. Require Subs to carry a CGL Limit of Liability of at least \$1,000,000?  Yes  No
4. Require Sub's to carry Contractors Pollution Liability (CPL) when pollution exposure is relevant?  Yes  No
5. Require Subs to carry a CPL Limit of Liability of at least \$1,000,000 when CPL is required?  Yes  No
6. Require Subcontractors to carry Mold coverage on their CPL when that exposure is relevant?  Yes  No
7. Require all professionals, engineers, and other sub-consultants providing professional services on your behalf to carry at least \$1,000,000 in Professional Liability coverage limits?  Yes  No
8. Verify all the above risk transfer requirements by obtaining Certificate of Insurance from the Sub 100% of the time before allowing the Sub to begin performing operations or providing services?  Yes  No

**14 - LOSS CONTROL AND BEST PRACTICES****e - CONTRACTUAL LIABILITY**

1. Percentage of jobs performed under the following types of client agreements:
  - Your Written Contract \_\_\_\_\_%
  - Letter Agreement \_\_\_\_\_%
  - Purchase Order \_\_\_\_\_%
  - Client's Written Contract \_\_\_\_\_%
  - Verbal Agreement \_\_\_\_\_%
  - Other: \_\_\_\_\_%
2. Do you utilize a Limitation of Liability to a specific dollar amount in your Client Contracts?  Yes  No
3. Do you utilize the ASTM-E1527 Standard when conducting Phase I Env Site Assessments?  Yes  No
4. Do you include a disclaimer regarding 3<sup>rd</sup> party reliance in your consulting reports?  Yes  No

**a - SAFETY PRACTICES**

1. Do you operate under a written Health and Safety Plan for your jobsite operations?  Yes  No
2. Do you provide an industry relevant Safety Training Program to your employees?  Yes  No
3. Do you have an Auto Safety and Vehicle Maintenance Program or DOT Management Plan?  Yes  No

**b - OPERATIONAL CONTROLS AND PREVENTION**

Which of the following Risk Control Procedures do you commonly implement on your projects?

- |  |   |
|--|---|
| <input type="checkbox"/> Project Specific Health & Safety Plans        | <input type="checkbox"/> Excavation and Underground Utility Risk Prevention |
| <input type="checkbox"/> Daily Toolbox or Tailgate Briefings           | <input type="checkbox"/> Storm Water Pollution Prevention Plans (SWPPP)     |
| <input type="checkbox"/> Site Management Plans – Soil, Waste, Haz Mats | <input type="checkbox"/> Dust Control and Prevention Plans                  |

**c - MOLD AND MOISTURE INTRUSION**

1. Do your employees have training or protocols for preventing, identifying and responding to moisture and mold related issues within your construction projects?  Yes  No
2. Is your firm, or any employee, licensed or certified in mold testing or assessment?  Yes  No
3. Is your firm, or any employee, licensed or certified in mold abatement?  Yes  No

**d - QUALITY CONTROL AND ASSURANCE**

1. Do you implement a Quality Control or Assurance Program for your construction operations?  Yes  No
2. Do you have a Quality Control or Assurance Program for your design or consulting services?  Yes  No
3. Do you implement a formal Risk Management Action Plan or Applied Best Practices System?  Yes  No

**f - TRANSPORTATION POLLUTION LIABILITY**

1. Are you seeking 1st Party Transportation Pollution Liability (TPL) coverage (for your vehicles)?  Yes  No

If Yes, complete the following:

**TOTAL NUMBER OF VEHICLES TRANSPORTING HAZ MATS OR POTENTIAL POLLUTANTS**

TYPE OF VEHICLE	No. of UNITS	TYPE OF VEHICLE	No. of UNITS
Private Passenger & Light Trucks:		Extra Heavy Trucks and Truck Tractors:	
Medium Trucks (10,001 - 20,000 lbs):		Railcar or Rolling Stock:	
Heavy Trucks (20,001 - 45,000 lbs):		Watercraft or Barge:	

2. Are you required to maintain a FMCSA Hazardous Materials Safety Permit?  Yes  No

If Yes, is that Permit in good standing?  Yes  No

3. What is the average mileage per trip when hauling hazardous or potentially polluting materials? \_\_\_\_\_

4. Identify the materials transported: \_\_\_\_\_

5. Have you incurred a loss related to pollution in transportation in the last three (3) years?  Yes  No

6. Do you utilize 3<sup>rd</sup> Party transportation companies to transport materials on your behalf?  Yes  No

If Yes, answer the following:

a) Do you verify the transporter is properly licensed and permitted?  Yes  No

b) Do you verify the transporter's insurance includes Transportation Pollution Liability (TPL)?  Yes  No

**g - NON-OWNED DISPOSAL SITE (NODS) LIABILITY**

1. Do you select or recommend disposal sites on behalf of your clients and customers?  Yes  No

2. Do you take title to any waste or cargo at any time?  Yes  No

3. Do you verify that the landfill or disposal site is properly classified to accept the waste?  Yes  No

4. Do you verify that the landfill or disposal site is properly insured?  Yes  No

**h - POLLUTION LIABILITY FOR YOUR SITES**

1. Are you seeking coverage for Pollution Liability at your owned or rented location(s)?  Yes  No

2. Do your locations consist of offices and/or contractors yard only, with no Hazardous Material handling, processing or storage?  Yes  No

3. Are you seeking Pollution coverage for Time Element (or Sudden & Accidental) exposure only?  Yes  No

4. Are you seeking Pollution coverage for Sudden & Gradual exposure? (*Add'l Application Req'd*)  Yes  No

**15 - YOUR PREMISES AND LOCATIONS – Identify all premises that you own, rent or occupy:**

Street Address	Operations and Activities

**16 - CLAIM INFORMATION**

Within the last five (5) years, have any claims, suits or notices of occurrence been made against you (or any other person or entity for which coverage is being sought), or been reported under any General Liability, Pollution, Professional Errors & Omissions or other similar policy?  Yes  No  
If Yes, please provide a full description and explanation below.

Are you aware of any fact, circumstance, incident, defect or suspected defect in any product or work which could reasonably be expected to result in a claim, suit, or notice of occurrence being made against you or any other person or entity for which coverage is being sought?  Yes  No  
If Yes, please provide a full description and explanation below.

**17 - ADDITIONAL INFORMATION – Use this space to provide any further detail or explanation**

[Empty box for additional information]

**FRAUD WARNING**

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, may be committing a fraudulent insurance act, and may be subject to a civil penalty or fine.

**DO NOT SIGN UNTIL YOU HAVE READ THE CONTENTS OF THIS APPLICATION AND THE FRAUD WARNING.**

**I have reviewed the contents of this application and with my signature, declare that to the best of my knowledge that all statements herein are true and no material facts have been suppressed or misstated. I am also aware that my operation may be inspected by the Insurance Company.**

**SIGN AND DATE**

APPLICANT'S PRINTED NAME	TITLE
APPLICANT'S SIGNATURE	DATE
AGENT OR BROKER'S NAME	LICENSE NO.
AGENT OR BROKER'S SIGNATURE	DATE